03-18-02

Attorney Docket 21272-029CIP2G/ (Formerly 787CIP2G)

Express Mail Lagel 10. EV 074979117US Date of Deposit March 15, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

TRACE. Tom Tang et al.

SERIAL NUMBER:

09/774,434

EXAMINER:

Not Yet Assigned

FILING DATE:

January 30, 2001

ART UNIT:

1646

FOR:

Novel Nucleic Acids and Polypeptides

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Response to Notice To File Missing Parts of Application (in duplicate);

2. Executed Combined Declaration and Power of Attorney for Application (5 counterparts) (5pgs. each);

3. A copy of Notice To File Missing Parts of Application;

4. Petition For Five-Month Extension of Time (in duplicate);

5. Change of Attorney Docket Number;

6. Statement In Support of Substitute Specification;

7. Substitute Specification (118pgs.);

8. A Check in the amount of \$65.00, Check No. 12124 (for surcharge fee);

9. A Check in the amount of \$980.00, Check No. 12125 (for extension fee); and

10. Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to our Deposit Account No. 50-0311, Attorney Reference No. 21272-065CIP2E (Formerly 791CIP2E). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Cynthia Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

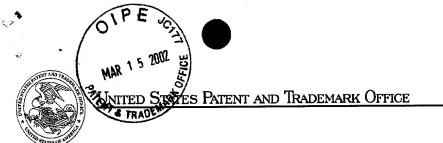
Fax: (617) 542-2241

Dated: March 15, 2002

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PATENT TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/774,434

01/30/2001

Y. Tom Tang

787CIP2G

CONFIRMATION NO. 3487

FORMALITIES LETTER
OC000000006505596

Leslie A. Mooi HYSEQ, INC. 670 Almanor Avenue Sunnyvale, CA 94085

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

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A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

03/20/2002 SFELEKEI 00000069 09774434

P Posit: March 15, 2002 (Formerly 787CIP2G)

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Y. Tom Tang et al.

SERIAL NUMBER: 09/774,434

EXAMINER: Not Yet Assigned

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For:

Novel Nucleic Acids and Polypeptides

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed August 31, 2001, Applicants submit herein executed Combined Declaration and Power of Attorney (5 counterparts), payment of the surcharge fee \$65.00 set forth in 37 CFR 1.16(e), Statement In Support of Substitute Specification 37 CFR 1.125(b)(1), Substitute Specification, and a Petition for a Five-Month Extension of Time. The response is due on or before March 31, 2002. A copy of the Notice To File Missing Parts is also enclosed. Applicants believe no additional filing fees are due.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayments of same, to Deposit Account No. 50-0311, Attorney Reference No. 21272-029CIP2G (Formerly 791CIP2G). Should any questions or issues arise concerning this

application, the Examiner is encouraged to contact the undersigned at the telephone number provided below. A duplicate of this letter is enclosed.

Respectfully submitted,

Ivor R. Efrifi, Reg. No. 39,529 Cynthia Kozakiewicz, Reg. No. 42,764 Attorneys for Applicants c/o MINTZ, LEVIN One Financial Center Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: March 15, 2002

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Deposit: March 15, 2002 Date ø

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Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

STATEMENT IN SUPPORT OF SUBSTITUTE SPECIFICATION (37 CFR 1.125(b)(1))

Pursuant to 37 CFR 1.125(b)(1), I hereby state that the content of the substitute specification and the specification filed on January 30, 2001 are the same. Applicants hereby submit this substitute specification in response to the Notice to File Missing Parts mailed on August 31, 2001, which specifically requested a substitute specification in compliance with 37 CFR 1.52. Thus, this submission includes no new matter. Applicants believe that no fee is required for the filing of the present submission. However, the Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment, to Deposit Account No. 50-0311, Reference No. 21272-029CIP2G (formerly 787CIP2G).

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Cynthia Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

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Dated: March 15, 2002

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